

# UNITED STATES DISTRICT COURT

for the

## Southern District of New York

## SECURITIES AND EXCHANGE COMMISSION

*Plaintiff(s)*

V.

STEVE M. BAJIC, et al

Civil Action No. 1:20-cv-00007-LGS

*Defendant(s)*

2

## **SUMMONS IN A CIVIL ACTION**

To: (*Defendant's name and address*) **BLACKLIGHT SA**

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are: Alicia Reed, Esq.

SECURITIES AND EXCHANGE COMMISSION  
Boston Regional Office  
33 Arch St., 24th Floor  
Boston, MA 02110

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

*CLERK OF COURT*

Date: 01/08/2020

/s./ D. Howie

*Signature of Clerk or Deputy Clerk*





**U.S. Department of Justice**

Criminal Division

VAA:WHG:JEC:CLF:cdm

*Office of International Affairs*

*Washington, D.C. 20530*

July 28, 2020

**VIA EMAIL**

Ms. Marlee Miller  
Branch Chief  
U.S. Securities and Exchange Commission  
Office of International Affairs  
100 F Street, NE  
Washington, DC 20549

Re: Materials Provided in Response to the Request for Legal Assistance in the Prosecution of Blacklight SA and Anthony Killarney; OIA Reference Number: CRM-182-73280 (please use when responding)

Dear Ms. Miller:

Pursuant to your request for assistance in the above-referenced matter, enclosed please find evidence from Switzerland, consisting of a letter from the Canton of Geneva, receipts of the delivery of service, and certificates of authenticity. Please note that under Swiss Criminal Code Article 85(4)(a), service of process by registered mail that is not collected is considered effective on the seventh day following the unsuccessful attempt at service. Given that the Swiss authorities also made several attempts to effect personal service on both Blacklight SA and Anthony Killarney, the Swiss Federal Office of Justice has indicated that this additional attempt by registered mail is sufficient under Swiss law.

This office is forwarding these materials as received and is not retaining a copy. The documents will require translation. Please review the material and advise within 30 days whether the evidence completes the execution of your request.

Article 5 of the Treaty governs the use limitations applicable to this material. Should you anticipate the need to use the materials for a different purpose, please consult this office in advance for the purpose of obtaining authorization. In addition, the following conditions to providing assistance have been imposed: the Federal Office of Justice, Swiss Central Authority for the USA, has determined that any public disclosure of the enclosed documents - as defined by article 320 of the Swiss Criminal Code (Violation of Official Secrecy), and including disclosure pursuant to Freedom of Information Act requests - would violate the laws applicable to the FOJ.

Please confirm by email to International Affairs Specialist Caleb Montague at Caleb.Montague@usdoj.gov that you have received the enclosed evidence. If you have any questions, please do not hesitate to contact me at (202) 262-6992, or Colette.Ford@usdoj.gov.

Sincerely,

Vaughn A. Ary  
Director

By:



Colette L. Ford  
Senior Trial Attorney

Enclosures: Materials from Switzerland



Schweizerische Eidgenossenschaft  
Confédération suisse  
Confederazione Svizzera  
Confederaziun svizra  
Swiss Confederation

Federal Department of Justice and Police FDJP  
Federal Office of Justice FOJ  
Division for International Legal Assistance  
Mutual Assistance Unit II: Obtaining Evidence and Service of Documents

CH-3003 Berne, FOJ

**FEDERAL EXPRESS**  
Office of International Affairs OIA  
Department of Justice  
Criminal Division  
Suite 800  
1301 New York Ave., N.W.  
Washington DC 20005  
UNITED STATES  
Phone +1 202 514-00 00

Your reference: CRM 182-73280 CRM-182-73192  
Our reference: Z-20-200-3  
Person responsible: MOC  
Berne, July 15, 2020

Your request for service of documents of May 4, 2020 on BLACKLIGHT SA and KILLARNEY Anthony

Dear Sir or Madam

Reference is made to the above-mentioned request. Please find enclosed the resulting documents.

Best regards

Federal Office of Justice

Christine Moosmann

Document without signature

Enclosures mentioned

Federal Office of Justice FOJ  
Christine Moosmann  
Bundesstrasse 20, 3003 Berne, Switzerland  
Phone +41 58 467 31 58, Fax +41 58 462 53 80  
christine.moosmann@bj.admin.ch  
www.bj.admin.ch

BJ-00407881 / P003

Confidential Pursuant to Securities Exchange Act s.24(d)

SEC-MLATSFOJ-E-0000004

Republic and Canton of Geneva  
Judicial Branch  
Office of the Public Prosecutor

Geneva, July 14, 2020

NA/72/2020 HPG

Office of the Public Prosecutor  
Bailiff Department  
Route de Chancy 6B  
Postal Box 3565  
CH – 1211 Geneva 3  
[website]

Federal Office of Justice  
Office of International Judicial  
Assistance  
Attn.: Ms. Moosmann  
Bundesrain 20  
3003 Bern

Ref.: <b>NA/72/2020 HPG</b>	
Your ref.: <b>Z-20-200-3</b>	<b>MOC</b>

It is our privilege to return to you the requested judicial document notice:

- Registered mail that was reported on July 10 by the Swiss Postal System as **EXECUTED**  
(no recipient signature due to COVID-19)

Sincerely,

On behalf of the Office of the Public Prosecutor  
Bailiff Department  
Eric Fontannaz  
[signed]

[stamp: Office of the Public Prosecutor of the Republic and Canton of Geneva]

---

Office of the Public Prosecutor, Bailiff Department – [telephone, website, fax]  
E-fax terms of use: [website]

Office hours: 8:00 AM to 12:00 PM and 2:00 PM to 5:00 PM

TPG access: Line 14, stop Quidort/2; Line 19, stop Claire-Vue

Republic and Canton of Geneva  
Judicial Branch  
Office of the Public Prosecutor

Geneva, date of postal stamp

Office of the Public Prosecutor  
Route de Chancy 6B  
Postal Box 3565  
CH – 1211 Geneva 3  
[website]

NA/72/2020 HPG

REGISTERED MAIL

Blacklight SA  
C/O Michael Mraz, Esq.  
Law firm of Wenger & Vieli AG  
Dufourstrasse 56  
Postfach  
8034 Zurich

Ref.: NA/72/2020 HPG  
Please include in all  
correspondence

Dear Sir,

Enclosed, please find a legal document from the Department of Justice in the USA regarding the company Blacklight SA.

You are receiving this document pursuant to the enclosed power of attorney from March 5, 2020.

Sincerely,

Office of the Public Prosecutor  
Bailiff Department  
[signed]

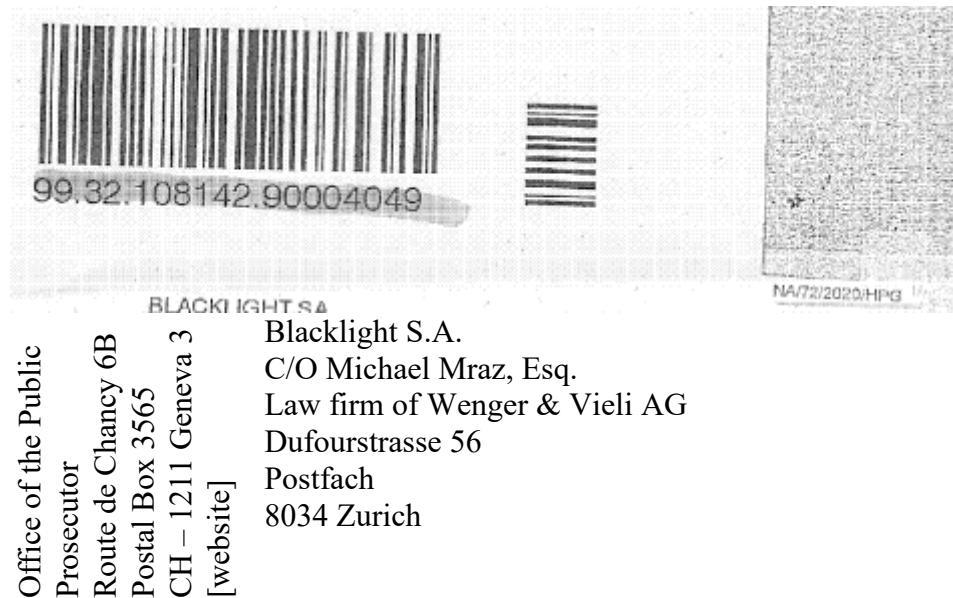
[stamp: Office of the Public Prosecutor of the Republic and Canton of Geneva]

---

Office of the Public Prosecutor [telephone, website, fax]  
E-fax terms of use: [website]  
Office hours: 8:00 AM to 12:00 PM and 2:00 PM to 5:00 PM;  
Telephone service 8:30 AM to 12:00 PM and 2:00 PM to 5:00 PM  
TPG access: Line 14, stop Quidort/2; Line 19, stop Claire-Vue

[Envelope]

La Poste



NA/72/2020**La Poste** [Logo]

For Customers

Online Services

My Shipments

Customer Center

My Shipments

My Shipments



**Coronavirus: We are here for you, but with a few adjustments**  
 For contactless delivery of your shipment, select the “Delivery” setting.  
 Deliveries may be delayed at this time.

 Shipment number/PIN  
 993210814290004049

Search


 PostPac Economy  
 99.32.108142.90004049

 Delivered  
 July 10, 2020

PostPac Economy

Shipment number: 99.32.108142.90004049

 Delivered  
 July 10, 2020

 Delivery address  
 8008 Zurich

 Shipment details  
 35.3 x 23.3 x 3.8 cm, 1.64 kg

Friday, July 10, 2020


 10:15 Delivered by  
 8032 Zurich Neumunster  
 Wednesday, July 8, 2020

 16:53 Shipment processed for delivery  
 8520 Frauenfeld Paketzentrum

 10:05 Shipment processed  
 1310 Daillens Package Center  
 Tuesday, July 7, 2020


16:45 Shipment announced by sender (information received)

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Republic and Canton of Geneva  
Judicial Branch  
Office of the Public Prosecutor

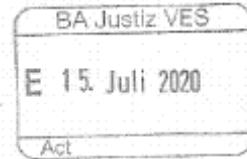
Office of the Public Prosecutor  
Bailiff Department  
Route de Chancy 6B  
Postal Box 3565  
CH – 1211 Geneva 3  
[website]

Geneva, July 14, 2020

NA/71/2020 HPG

Federal Office of Justice  
Office of International Judicial  
Assistance  
Attn.: Ms. Moosmann  
Bundestrain 20  
3003 Bern

Ref.:	NA/71/2020 HPG
Your ref.:	Z-20-200-3 MOC



It is our privilege to return to you the requested judicial document notice:

- Registered mail that was reported on July 9 by the Swiss Postal System as **EXECUTED** (no recipient signature due to COVID-19)

Sincerely,

On behalf of the Office of the Public Prosecutor  
Bailiff Department  
Eric Fontannaz  
[signed]

[stamp: Office of the Public Prosecutor of the Republic and Canton of Geneva]

---

Office of the Public Prosecutor, Bailiff Department – [telephone, website, fax]

E-fax terms of use: [website]

Office hours: 8:00 AM to 12:00 PM and 2:00 PM to 5:00 PM

TPG access: Line 14, stop Quidort/2; Line 19, stop Claire-Vue

Republic and Canton of Geneva  
Judicial Branch  
Office of the Public Prosecutor

Office of the Public Prosecutor  
Route de Chancy 6B  
Postal Box 3565  
CH – 1211 Geneva 3  
[website]

Geneva, date of postal stamp

NA/71/2020 HPG

REGISTERED MAIL

Mr. Anthony Killarney  
Route du Mandement 102  
1242 Satigny

Ref.: **NA/71/2020 HPG**

**Please include in all correspondence**

Dear Sir,

Enclosed, please find a legal document from the Department of Justice in the USA that relates to you.

Sincerely,

Office of the Public Prosecutor  
Bailiff Department  
[signed]

[stamp: Office of the Public Prosecutor of the Republic and Canton of Geneva]

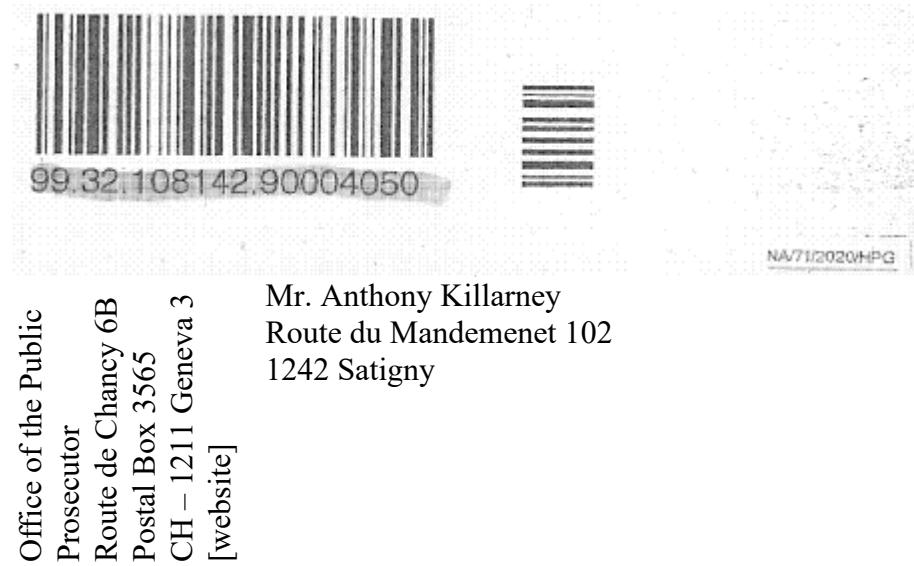
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Office of the Public Prosecutor [telephone, website, fax]  
E-fax terms of use: [website]

Office hours: 8:00 AM to 12:00 PM and 2:00 PM to 5:00 PM;  
Telephone service 8:30 AM to 12:00 PM and 2:00 PM to 5:00 PM  
TPG access: Line 14, stop Quidort/2; Line 19, stop Claire-Vue

[Envelope]

La Poste



NA/71/2020

**La Poste** [Logo]

For Customers

Online Services

My Shipments

Customer Center

My Shipments

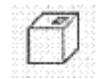
My Shipments



Coronavirus: We are here for you, but with a few adjustments  
 For contactless delivery of your shipment, select the “Delivery” setting.  
 Deliveries may be delayed at this time.

Shipment number/PIN  
 993210814290004050

Search



PostPac Economy  
 99.32.108142.90004050

Delivered  
 July 9, 2020

### PostPac Economy

Shipment number: 99.32.108142.90004050

Delivered  
 July 9, 2020

Delivery address  
 1242 Satigny

Shipment details  
 34.9 x 23.3 x 2.6 cm, 1.02 kg

Thursday, July 9, 2020



11:42

Delivered by  
 1217 Meyrin Distribution

Wednesday, July 8, 2020



10:06

Shipment processed for delivery  
 1310 Daillens Package Center

Tuesday, July 7, 2020



16:45

Shipment announced by sender (information received)

--

show less



Schweizerische Eidgenossenschaft

Confédération suisse

Confederazione Svizzera

Confederaziun svizra

Swiss Confederation

Federal Department of Justice and Police FDJP

Federal Office of Justice FOJ

Division for International Legal Assistance

Mutual Assistance Unit II: Obtaining Evidence and Service of Documents

CH-3003 Berne, FOJ

**FEDERAL EXPRESS**

Office of International Affairs OIA  
Department of Justice  
Criminal Division  
Suite 800  
1301 New York Ave., N.W.  
Washington DC 20005  
UNITED STATES  
Phone +1 202 514 00 00

Your reference: CRM 182-73280 CRM-182-73192

Our reference: Z-20-200-3

Person responsible: MOC

Berne, July 15, 2020

**Your request for service of documents of May 4, 2020 on BLACKLIGHT SA and KILLARNEY Anthony**

Dear Sir or Madam

Reference is made to the above-mentioned request. Please find enclosed the resulting documents.

Best regards

Federal Office of Justice

Christine Moosmann

Document without signature

Enclosures mentioned

Federal Office of Justice FOJ  
Christine Moosmann  
Bundesstrasse 20, 3003 Berne, Switzerland  
Phone +41 58 467 31 58, Fax +41 58 462 53 80  
christine.moosmann@bj.admin.ch  
www.bj.admin.ch



République et canton de Genève  
POUVOIR JUDICIAIRE  
Ministère public

Genève, le 14 juillet 2020

Ministère public  
Service des huissiers  
Route de Chancy 6 B  
Case postale 3565  
CH - 1211 GENEVE 3  
www.ge.ch/justice

NA/72/2020 HPG

OFFICE FEDERAL DE LA JUSTICE  
Division de l'entraide  
judiciaire internationale  
A l'attention de Mme MOOSMANN  
Bundesstrasse 20  
3003 Berne

Réf.: NA/72/2020 HPG  
V.Réf.: Z-20-200-3 MOC

Nous avons l'honneur de vous adresser en retour sous ce pli, votre demande de notification d'un acte judiciaire:

- Pli recommandé notifié le 10 juillet par la Poste suisse (pas de signature du destinataire – Covid-19), soit **EXECUTE**.

Nous vous prions d'agréer l'expression de nos sentiments distingués.

Pour le Ministère Public  
Service des huissiers.  
Eric Fontannaz





République et canton de Genève  
POUVOIR JUDICIAIRE  
Ministère public

Genève, date du timbre postal

NA/72/2020/HPG

Ministère public  
Route de Chancy 6 B  
Case postale 3565  
CH - 1211 GENEVE 3  
[www.ge.ch/justice](http://www.ge.ch/justice)

RECOMMANDÉ

BLACKLIGHT SA  
c/o Me Michael Mràz  
Etude Wenger & Vieli AG  
Dufourstrasse 56  
Postfach  
8034 Zürich

Réf : NA/72/2020 HPG

à rappeler lors de toute  
communication

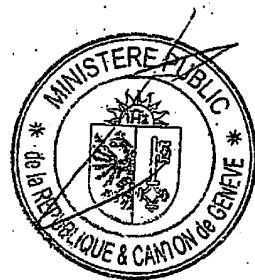
Maître,

Veuillez recevoir ci-joint un acte judiciaire provenant du Département de la justice des USA concernant la Société BLACKLIGHT SA,

Vous le recevez en fonction de votre procuration ci-jointe du 5 mars 2020.

Veuillez agréer, Maître, mes salutations distinguées

Ministère public  
Service des huissiers

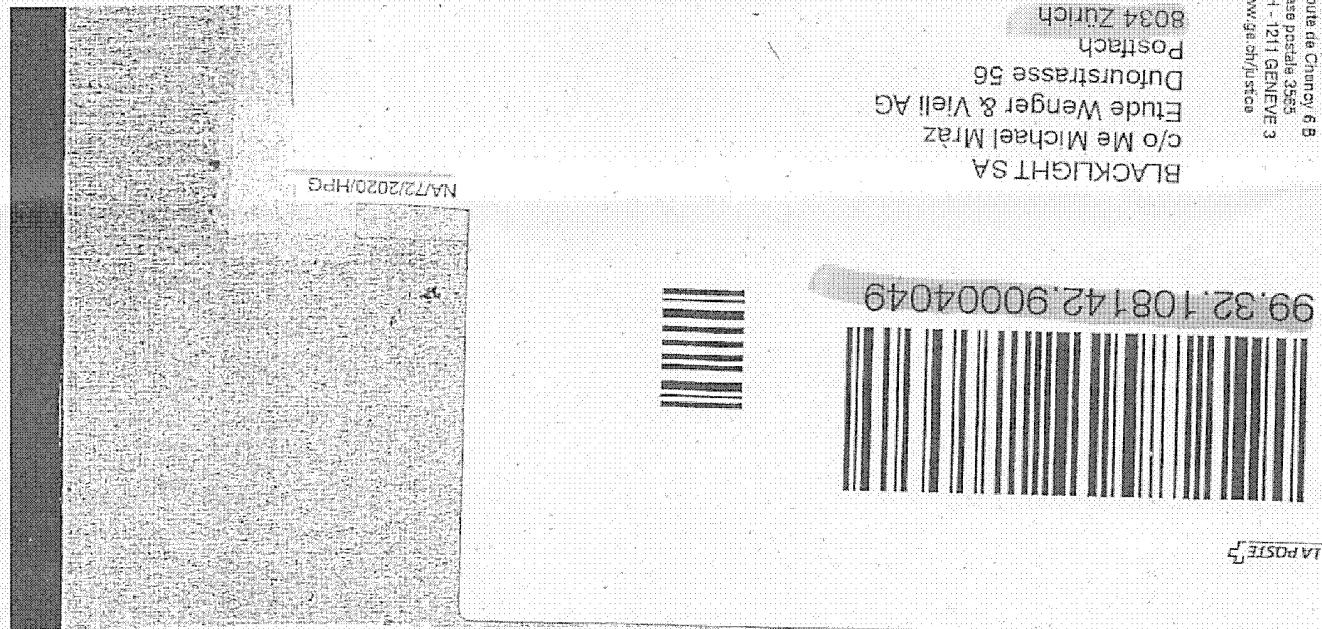


MP\_COUVERTURE

Ministère public - Tél : +41 22 327 64 63/64 - <http://ge.ch/justice/ministere-public>  
efax: [efaxMP@justice.ge.ch](mailto:efaxMP@justice.ge.ch) - efax avocats: [efaxMP.avocats@justice.ge.ch](mailto:efaxMP.avocats@justice.ge.ch)  
Règles d'utilisation efax: <http://ge.ch/justice/ministere-public/efax>  
Horaire guichet : 8h-12h 14h-17h / tél : 8h30-12h 14h-17h  
Accès TPG : 14 arrêt Quidort / 2, 19 arrêt Claire-Vue

FOE

Envol simple





Espace clients | Services en ligne | Mes envois

Centre clientèle | Mes envois

## Mes envois

**Coronavirus: nous sommes là pour vous - mais avec certains ajustements**Pour la réception sans contact de vos envois, sélectionner le **paramètre permanent «Déposer»**. Des retards de distribution sont actuellement possibles.Numéro de l'envoi / code de retrait  
993210814290004049

Chercher



PostPac Economy

99.32.108142.90004049

Distribué

10 juillet 2020

**PostPac Economy**

Numéro de l'envoi: 99.32.108142.90004049

Distribué

10 juillet 2020

Adresse de distribution

8008 Zürich

**Informations sur l'envoi**

35.3 x 23.3 x 3.8 cm, 1.64 kg

vendredi, 10 juillet 2020



10:15 Distribué par

8032 Zürich Neumünster

mercredi, 8 juillet 2020



16:53 L'envoi a été trié en vue de sa distribution

8520 Frauenfeld Paketzentrum

mardi, 7 juillet 2020



10:05 L'envoi a été trié

1310 Daillens Centre Colis

— montrer moins



République et canton de Genève  
POUVOIR JUDICIAIRE  
Ministère public

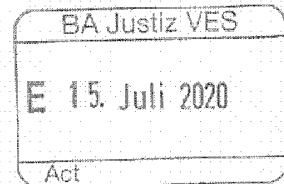
Genève, le 14 juillet 2020

NA/71/2020 HPG

Ministère public  
Service des huissiers  
Route de Chancy 6 B  
Case postale 3565  
CH - 1211 GENEVE 3  
[www.ge.ch/justice](http://www.ge.ch/justice)

OFFICE FEDERAL DE LA JUSTICE  
Division de l'entraide  
judiciaire internationale  
A l'attention de Mme MOOSMANN  
Bundesstrasse 20  
3003 Berne

Réf: NA/71/2020 HPG  
V.Ref: Z-20-200-3 MOC

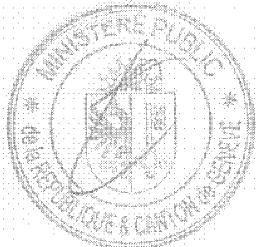


Nous avons l'honneur de vous adresser en retour sous ce pli, votre demande de notification d'un acte judiciaire:

- Pli recommandé notifié le 09 juillet par la Poste suisse (pas de signature du destinataire – Covid-19), soit **EXECUTE**.

Nous vous prions d'agréer l'expression de nos sentiments distingués.

Pour le Ministère Public  
Service des huissiers.  
Eric Fontannaz



F\_PGS4

Ministère public - Service des huissiers - Tél : +41 22 327 66 00 - <http://ge.ch/justice/ministere-public>  
efax: [efaxMP@justice.ge.ch](mailto:efaxMP@justice.ge.ch) - efax avocats: [efaxMP.avocats@justice.ge.ch](mailto:efaxMP.avocats@justice.ge.ch)

FOE

Envoi simple

Règles d'utilisation efax: <http://ge.ch/justice/ministere-public/efax>  
Horaire d'ouverture : 8h00-12h00 et 14h00-17h00  
Accès TPG : 14 arrêt Quidort / 2, 19 arrêt Claire-Vue



République et canton de Genève  
POUVOIR JUDICIAIRE  
Ministère public

Genève, date du timbre postal

NA/71/2020/HPG

Ministère public  
Route de Chancy 6 B  
Case postale 3565  
CH - 1211 GENEVE 3  
www.ge.ch/justice

**RECOMMANDÉ**

M. KILLARNEY Anthony  
Route du Mandement 102  
1242 Satigny

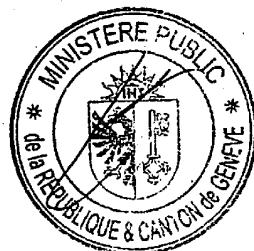
Réf : NA/71/2020 HPG  
à rappeler lors de toute  
communication

Monsieur,

Veuillez recevoir ci-joint un acte judiciaire provenant du Département de la justice des USA vous concernant.

Veuillez agréer, Monsieur, mes salutations distinguées

Ministère public  
Service des huissiers



MP\_COUVERTURE

Envoi simple

Ministère public - Tél : +41 22 327 64 63/64 - <http://ge.ch/justice/ministere-public>  
efax: [efaxMP@justice.ge.ch](mailto:efaxMP@justice.ge.ch) - efax avocats: [efaxMP.avocats@justice.ge.ch](mailto:efaxMP.avocats@justice.ge.ch)  
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Horaire guichet : 8h-12h 14h-17h / tél : 8h30-12h 14h-17h  
Accès TPG : 14 arrêt Quidort / 2, 19 arrêt Claire-Vue

FOE

Ministère public  
Route de Chancy 6 B  
Cass postale 3565  
CH - 1211 GENÈVE 3  
[www.gp.ch/justice](http://www.gp.ch/justice)

1242 Sainte  
Route du  
Monsieur  
KILLARNEY

Route du Mandement 102

61

NAT1/2020/HPG

99.32.108142.90004050

LA POSTA

NATHALIE 2020

[Espace clients](#) [Services en ligne](#) [Mes envois](#)[Centre clientèle](#) [Mes envois](#)

## Mes envois

**Coronavirus: nous sommes là pour vous - mais avec certains ajustements**Pour la réception sans contact de vos envois, sélectionner le  
**paramètre permanent «Déposer»**. Des retards de distribution sont actuellement possibles.Numéro de l'envoi / code de retrait  
993210814290004050[Chercher](#)**PostPac Economy**

993210814290004050

**Distribué**

9 juillet 2020

## PostPac Economy

Numéro de l'envoi: 993210814290004050

**Distribué**

9 juillet 2020

**Adresse de distribution**

1242 Satigny

### Informations sur l'envoi

34.9 x 23.3 x 2.6 cm, 1.02 kg

jeudi, 9 juillet 2020

11:42 **Distribué par**

1217 Meyrin Distribution

mercredi, 8 juillet 2020



10:06 L'envoi a été trié en vue de sa distribution

1310 Daillens Centre Colis

mardi, 7 juillet 2020



16:45 Envoi annoncé par l'expéditeur (données livrées)

[montrer moins](#)